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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-455

In Re Application Of: **Anthony J. Baerlocher et al.**

Serial No.
09/966,663

Filing Date
September 28, 2001

Examiner
Unknown

Group Art Unit
3711

Title: **GAMING DEVICE INCLUDING AWARDS THAT GENERATE ANOTHER AWARD**



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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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*This certificate may only be used if paying by deposit account.

Adam H. Masia
Signature

Dated: **March 7, 2002**

Adam H. Masia (Reg. No. 35,602)
BELL, BOYD & LLOYD LLC
P. O. Box 1135
Chicago, Illinois 60690

CC:

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
0112300-455

In Re Application Of: Anthony J. Baerlocher et al.

MAR 07 2002

Serial No.
09/966,663

Filing Date
September 28, 2001

Examiner
Unknown

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3711

MAR 14 2002

Title: **GAMING DEVICE INCLUDING AWARDS THAT GENERATE ANOTHER AWARD**

TECHNOLOGY CENTER R3700

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal of Information Disclosure Statement; Information Disclosure Statement; Information Disclosure Citation - PTO 1449; References Cited; Transmittal Letter (General-Patent Pending); Preliminary Amendment; Certificate of Express Mailing (Label el 727 383 206 US); and Postcard Return Receipt.

in the above identified application.

- ☒ No additional fee is required.
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- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Adam H. Masia

Signature

Dated: March 7, 2002

Adam H. Masia (Reg. No. 35,602)
BELL, BOYD & LLOYD LLC
P. O. Box 1135
Chicago, Illinois 60690

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Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Baerlocher et al.

Appl. No.: 09/966,663

Filed: September 28, 2001

Title: GAMING DEVICE INCLUDING AWARDS THAT GENERATE ANOTHER AWARD

Art Unit: 3711

Examiner: Unknown

Docket No.: 0112300-455

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Assistant Commissioner for Patents
Washington, DC 20231

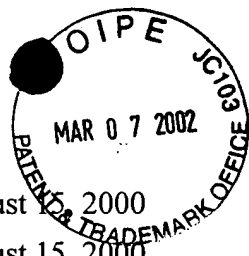
INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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4,695,053	September 22, 1987	Vazquez, Jr. et al.
5,342,047	August 30, 1994	Heidel et al.
5,449,173	September 12, 1995	Thomas et al.
5,823,874	October 20, 1998	Adams
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5,873,781	February 23, 1999	Keane
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<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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6,270,412 B1	August 7, 2001	Crawford et al.
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OTHER DOCUMENTS

Winning Bid Advertisement written by WMS Gaming Inc., published prior to 2001.

Texas Tea Brochure written by IGT, published in 2000.

Fortune Hunter Advertisement written by Aristocrat Leisure Industries Pty Ltd., published in September 1995.

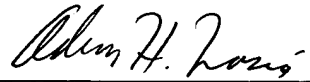
Catch A Wave Advertisement written by IGT, published in 2001.

Dolphin Treasure Advertisement written by Aristocrat Leisure Industries Pty Ltd., published in 1996.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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03-06-2002 15:21

0112300/00455 1 Redweld With ColorFlex Label (not listed) Prior Art AHM Corporate Genera